

PTFS Succession Planning – INITIAL ENQUIRY FORM

Thank you for your interest in Personal Touch Financial Services (**PTFS**) Succession Planning.

In order to progress your application we would be grateful if you would answer the following questions. On receipt of this information PTFS will be in contact with you to discuss further.

About you and how to contact you

Full name

Telephone numbers to contact you:

Email address

The financial performance of your business

Please complete the following in relation to the financial performance of your business for the last 12 month period. If you are not authorised to advise in a particular category please state that this is “not applicable”. Please include an estimate of the total liability for Unearned Indemnity Commission that could potentially transfer to PTFS along with your renewals.

Category of Business	£ Initial Commission/Fees	£ Renewal/Trail Commission	£ Unearned Indemnity Commission
Investments			
Pensions			
Mortgages			
Protection			
General Insurance			
Other (specify)			

About your business

Are you a controller of a business that is Directly Authorised by the FSA? Yes/No (please delete)

If yes please state the name of the firm and the FSA number.

Name of firm:FSA Number:

Once completed please return this form to 0121 767 1015 or by email successionplanning@personaltouchfs.com

We look forward to working with you.